

CURRICULUM VITAE

JAMES E. PAWLUKIEWICZ

Economic Consultant

ADDRESS AND PHONE:

Residence:

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Xavier University
Cincinnati, Ohio 45207-5162
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ACADEMIC QUALIFICATIONS:

Ph.D. (Finance) University of Kentucky, 1991
M.S. (Economics) University of Kentucky, 1976
B.S. (Business and Economics) University of Kentucky, 1974

PROFESSIONAL EXPERIENCE:

Chair, Department of Finance, Xavier University, 1998-present
Professor, Department of Finance, Xavier University, 2001-present
Associate Professor, Department of Finance, Xavier University, 1995-2000
Assistant Professor, Department of Finance, Xavier University, 1989-1995
Teaching Fellow, Department of Finance, University of Kentucky, 1985-1989
Assistant Professor, Department of Business, Le Moyne College, 1981-1985
Assistant Professor, Department of Finance, Rochester Institute of Technology, 1978-1981
Teaching Fellow, Department of Economics, University of Kentucky, 1975-1978

TEACHING AREAS:

Managerial Finance (MBA)
Applications in Corporate Finance (MBA)
Financial Risk Management (MBA)

Computer Applications in Finance
Managerial Statistics
Simulation Modeling (MBA)

PUBLICATIONS:

ASkewness-Adjusted Binomial Model for Pricing Debt Claims, @ published in the *Journal of Research in Finance*, 3(2): 274-297, 2000
AThe Temporal Behavior of Risk and Required Return Following Leverage-Changing Transactions, @ published in *Journal of Financial and Strategic Decisions*, 13(1), 2000.
APoison Pills: 1980s versus 1990s, @ published in the *American Business Review*, Vol. 18(1), January 2000.
ABoard Size and Profitability: An Analysis of Small U.S. Firms, @ published in the *Proceedings of the Northeast Decision Sciences Association*, Spring, 1999.
ASkewness-Adjusted Binomial Pricing Model: The Relevance of the Mean, @ published in *International Review of Economics and Business*, 46: 159-172, 1999
ADerivation of the Up and Down Parameters in the Binomial Model, @ published in *Journal of Financial Education*, 24:120-131, 1998.

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PUBLICATIONS (cont=d):

- ASupergrowth Firms and Corporate Control,@ published in the *Proceedings of the Northeast Decision Sciences Association*, Spring 1998.
ABinomial Option Pricing with Skewed Asset Returns,@ published in *Review of Quantitative Finance and Accounting*, 9: 89-101, 1997.
AThe Effect of Deregulation on Healthcare Industry Stock Returns,@ published in *Health Services Management Research*, 6: 203-221, 1993.
AThe Efficiency of the Over-Under Betting Market for National Basketball Association Games,@ published in *Journal of Economics*, 18: 97-100, 1992.
AThe Impact of Value Line Special Situation Recommendations,@ published in *Financial Review*, 26: 547-568, 1991.

RESEARCH INTERESTS:

Option pricing	Market efficiency
Dividend policy	Capital budgeting
Financial risk management	

MEMBERSHIPS:

American Finance Association	Financial Management Association
Eastern Finance Association	Southern Finance Association
National Association of Forensic Economics	Financial Executives Institute

James E. Pawlukiewicz, Ph.D.

I. Fee structure

My fee structure for consultation, report preparation, and testimony at trial or deposition is as follows:

1. The hourly rate for consultation, computations, and written reports is \$175.
2. The minimum charge for depositions and/or court testimonies is \$700. If the event requires more than four hours, the hourly rate is again applied.
3. Direct expenses are billed separately from hourly charges.

II. Publications over the last ten years

"Skewness-Adjusted Binomial Model for Pricing Debt Claims," published in the *Journal of Research in Finance*, 3(2): 274-297, 2000

"The Temporal Behavior of Risk and Required Return Following Leverage-Changing Transactions," published in *Journal of Financial and Strategic Decisions*, 13(1), 2000.

"Poison Pills: 1980s versus 1990s," published in the *American Business Review*, Vol. 18(1), January 2000.

"Board Size and Profitability: An Analysis of Small U.S. Firms," published in the *Proceedings of the Northeast Decision Sciences Association*, Spring, 1999.

"Skewness-Adjusted Binomial Pricing Model: The Relevance of the Mean," published in *International Review of Economics and Business*, 46: 159-172, 1999

"Derivation of the Up and Down Parameters in the Binomial Model," published in *Journal of Financial Education*, 24:120-131, 1998.

"Supergrowth Firms and Corporate Control," published in the *Proceedings of the Northeast Decision Sciences Association*, Spring 1998.

"Binomial Option Pricing with Skewed Asset Returns," published in *Review of Quantitative Finance and Accounting*, 9: 89-101, 1997.

"The Effect of Deregulation on Healthcare Industry Stock Returns," published in *Health Services Management Research*, 6: 203-221. 1993.

III. List of testimonies

Case	Date	Type	Location
Larry K. Johnson, Donna M. Johnson v. Devon Snyder	5/25/2000	Trial	Cincinnati, OH
Shipley v. Camargo Cadillac	7/27/2000	Deposition	Cincinnati, OH
Garry Ward V. Graydon, Head, Ritchey, et al	9/12/2000	Deposition	Cincinnati, OH
Sandra Schroeder v. MCI Telecommunications Corp	10/11/2000	Deposition	Cincinnati, OH
Richard Thompson v. Baker Iron & Metal Co., et al	2/20/2001	Deposition	Cincinnati, OH
Lisa Kerr Morris v. Equitable	10/22/2001	Deposition	Cincinnati, OH